

# Autism spectrum disorders: are we there yet?

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# Autism spectrum disorders

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- 📄 Prevalence/incidence/epidemiology
- 📄 Acquired brain lesions and "comorbidity"
- 📄 Genetics
- 📄 Where in the brain is autism?
- 📄 Psychosocial interactions
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# Diagnostic concepts and clinical presentations

- ☞ At least **four clinical** presentations of autism (autism/autistic spectrum disorder) plus "one" non-clinical
- ☞ **1. Autistic disorder** (Kanner syndrome) which can be subdivided into "low-functioning" ("Wing's triad with severe learning disability/MR") and "relatively high-functioning"
- ☞ **2. Asperger's disorder** (Asperger syndrome)
- ☞ **3. Childhood disintegrative disorder** (Heller syndrome) - different from "late onset autism"?
- ☞ **4. PDD NOS** (atypical autism, other autistic-like condition, other autism spectrum disorder)
- ☞ (5. The **broader autism phenotype** (lesser variant, shadow syndrome, autistic features) - part of spectrum?)

# Prevalence, incidence/epidemiology

- ☞ **Prevalence** much higher than believed in the past: ASD in **1% of population**, AD in 0.2%; many studies of prevalence, very few of **incidence**; **no good evidence that overall rates have soared, but subgroup variation likely; ASD was always quite common? - 0.7% already in the 1970s in Sweden**
- ☞ Associated with **mental retardation 15%** (80% in autistic disorder/AD)
- ☞ Associated with **epilepsy 5-10%** (35% in AD)
- ☞ **Medical disorder** in **10%** (25% in AD)
- ☞ Skewed **male:female ratio 2-4:1**
- ☞ High rate of **visual, hearing and motor impairments** (including at birth)
- ☞ **Sibling rate raised**; identical twin rate much raised in classic autism
  - Rutter 1971, Wing 1981, Gillberg 1983, Gillberg & Coleman 1996, Gillberg 1999, Fombonne 2003

# "Acquired" brain lesions and co-existing disorders ("medical co-morbidity")

☞ **Tuberous sclerosis**, Fragile X syndrome, Partial tetrasomy 15, Down syndrome, XYY, XO, Hypomelanosis of Ito, Rett complex variants, Angelman syndrome, Williams syndrome, CHARGE association, Smith-Magenis syndrome, Smith-Lemli-Opitz syndrome, CATCH 22, Fetal alcohol syndrome, Retinopathy of prematurity, **Thalidomide** embryopathy, Valproic syndrome, Moebius syndrome, Silver-Russell syndrome, (Landau-Kleffner syndrome), **Herpes and rubella infection**

– Gillberg & Coleman 2003

# Acquired brain lesions/ medical co-morbidity

- ☞ **Known medical disorders** 25% in autistic disorder "proper" (unselected samples) and 2-10% in Asperger syndrome
- ☞ These are either **genetic** in their own right, affect autism susceptibility gene areas, or cause brain lesions through direct/indirect insults
- ☞ **High rate of pre- and perinatal risk factors**
  - Gillberg & Coleman 2000

# Acquired brain lesions/ medical co-morbidity

## **Tuberous sclerosis**

- 3-9% of all autism cases, more common in those with epilepsy
- chromosome 16p involved in one variant (autism susceptibility genetic area? ADHD susceptibility genetic area)
- dopamine genes on chromosome 9 affected in other TS variant
- autism likely if TS lesions in temporofrontal regions and if there are many lesions
  - Gillberg et al 1996, Bolton et al 1997



# Acquired brain lesions/ medical co-morbidity

## Herpes encephalitis

- affects temporofrontal areas more often than other brain structures
- can lead to classic symptoms of autism even in previously unaffected individuals who are 14 and 31 years of age
  - Gillberg 1986, Gillberg IC 1991, Ghaziuddin et al 2002

# Acquired brain lesions/ medical co-morbidity

## **Thalidomide embryopathy**

- Pattern of eye-abnormalities (including Crocodile-tears) and limb anomalies in those with autism dates the autism to 20-24 days post-conception
- 5% have classic autism (with or without MR)
  - Strömland et al 1994

# Psychiatric "co-morbidity"

- 📄 **ADHD/HKD** (often part of autism in early life)
- 📄 **Tics** (complex similar to stereotypies)
- 📄 **OCD** (part of the triad?)
- 📄 **Anxiety** (often strong environmental factors)
- 📄 **Depression**
- 📄 **Bipolar disorder**
- 📄 **Selective mutism**
- 📄 Most with AS will meet criteria for **personality disorder** (inappropriate to diagnose?)
- 📄 **Eating disorders (including anorexia nervosa)**
- 📄 **Sleep disorders**

# Genetics

- ☞ **Sibs affected in 3%: core syndrome**
- ☞ **Sibs affected in 10-20%: spectrum disorder**
- ☞ **Identical co-twins affected in 60-90%**
- ☞ **Non-identical co-twins affected in 0-3%**
- ☞ **All of these findings refer to probands with autism proper, not spectrum disorders**

– Rutter 2002, Gillberg 2002

# Genetics

- 📄 **First-degree relatives** increased rates of affective disorders (including bipolar), social phobia, obsessive-compulsive phenomena, and "broader phenotype symptoms"
- 📄 **First-degree relatives also show** possibly increased rates of learning disorders including MR, dyslexia and SLI
- 📄 What about ADHD? Tics?

# Genetics

- 📄 Genes on certain chromosomes (e.g. 2, 6, 7, 16, 17, 18, 22, and X) may be important (genome scan studies of sib-pairs)
- 📄 Clinical findings in particular syndromes such as partial tetrasomy 15 (15q), Angelman (15q), tuberous sclerosis (9q, 16p), fragile X (X), Rett syndrome (X), Turner syndrome (X)

– Betancur 2003

# Genetics

- 📄 **Neurologin** genes on X-chromosome mutated in some cases
- 📄 Neurologin genes on other chromosomes, including chromosome 17
- 📄 **Other neurodevelopmental genes** according to microarray study
  - Jamain, Bourgeron, Leboyer, Gillberg et al 2003, Laumonnier et al 2004, Chi et al 2004, Larsson, et al 2004

# Where in the brain is autism?

📄 **Clinical finding:** macrocephalus common

📄 **Acquired brain lesions** implicate fronto-temporal and bilateral dysfunction in core syndrome; right or left dysfunction in spectrum disorder

📄 **Autopsy data** suggest: amygdala, pons and cerebellum

– Bayley et al 1997, Bauman 1988, Gillberg & Coleman 2000, Gillberg & deSouza 2002



# Where in the brain is autism?

## **Brainstem** damage suggested by

- Thalidomide
- Moebius syndrome, CHARGE association, and Goldenhar syndrome
- Auditory brainstem responses
- Decrease in/lack of postrotatory nystagmus
- Aberrant muscle tone and concomitant squint
  - Ornitz & Ritvo 1967, Ornitz 1977, Strömland, Gillberg et al 1994, Gillberg & Steffenburg 1997, Gillberg & Coleman 2000, Rosenhall, Gillberg et al 2003, Johansson et al 2004

# Where in the brain is autism?

## Cerebellar dysfunction suggested by

- **Autopsy studies**
- **Imaging studies**
- **Relationship to ataxia**
  - Courchesne 1988, Bauman et al 1992, Bayley et al 1999, Oldfors, Gillberg et al 2000, Weidenheim, Rapin, Gillberg et al 2001, Åhsgren, Gillberg et al 2004

# Where in the brain is autism?

## **Frontotemporal** brain dysfunction suggested by

- Autopsy studies
- Functional imaging studies
- Neuropsychological studies
- Combined neuropsychological-neuroimaging studies
- Clinical picture

– Gillberg 1999, Gillberg 2002

# Where in the brain is autism?

## Neuropsychological studies show

- Metarepresentation problems
- **Aberrant reading of facial expressions**
- **Aberrant/unusual face processing**
- Non-verbal learning disability in AS
- Verbal learning disability in AD
- Executive function deficits
- Central coherence problems
- Procedural (complex) learning deficits
- Superior fact learning
  - Ozonoff 1994, Happé 1994, Nydén et al 2000, Baron-Cohen et al 2002, 2003, Minshew 2003, Frith 2004, Cederlund & Gillberg 2004

# Where in the brain is autism?

## At least four biological variants of autism?

- Early brainstem/cerebellar associated with severe secondary problems (and low-functioning autism with little or no language)
- Midtrimester bitemporal lobe damage (and classic autism with some-considerable language)
- Uni- or bilateral frontotemporal dysfunction in high-functioning individuals (with Asperger syndrome with good formal expressive language)
- Multi-damage autism (autism with severe-profound MR and some atypicality)
  - Gillberg 2003 (Rutter lecture)

# Where in the brain is autism?

📄 Likely that **several functional neural loops are implicated** and that all impinge on neurocognitive/social cognitive functions that are crucially (but possibly not specifically) impaired in autism

– Gillberg 1999, Gillberg & Coleman 2000

# Psychopharmacology of autism

- 📄 Dopamine (Gillberg et al 1987)
- 📄 Serotonin (in MR also) (Coleman 1976)
- 📄 Noradrenaline (Gillberg et al 1987)
- 📄 Neurotrophins (Jamain et al 2003)
- 📄 GFA-protein (Ahlsén et al 1993)
- 📄 Gangliosides (Nordin et al 1998)
- 📄 Endorphines (Gillberg et al 1985)
- 📄 Glycine, GABA, Ach, glutamate?
- 📄 Immune system (Plioplys 1989)

# Clinical psychopharmacology of autism

☞ Only dopamine antagonists (**old and new neuroleptics**) have been shown to affect some core symptoms of autism in young children; however, important side-effects, including atypical weight-gain with risperidone; these agents are particularly helpful for irritable-disruptive and self-injurious behaviours

☞ **SRIs** for severe OCS and anxiety

☞ **Stimulants** for severe ADHD

☞ **Antiepileptics** for epilepsy (and mood swings?)

☞ **Peptides??** And peptide-targeted drugs?

- Campbell et al 1976, van Buitelaar 2000, McCracken et al 2002, Lindsay & Aman 2003, Martin et al 2004, McDougle 2004



# The pathogenetic chain

- ❏ **Genetic or environmental insult**
- ❏ **Damage or neurochemical dysfunction**
- ❏ **Neurocognitive and social cognitive functions restricted** (procedural learning, face processing, metarepresentations, central coherence, executive functions)
- ❏ **The "syndrome" (or, sometimes, the "arbitrary" symptom constellation) of autism**
- ❏ **The dyad (not triad) of social/communication impairment plus the monad of restricted behaviour pattern as a frequent concomitant? or three monads with frequent co-existence?**

# Psychosocial interactions

- ❏ Not associated with social class
- ❏ Not associated with psychosocial disadvantage; however, “pseudoautism” described in children exposed to extreme psychosocial deprivation
- ❏ Temporally restricted major improvement in good psychoeducational setting
- ❏ Immigration links? Indirect link with genetic factors?

# Psychosocial interactions

- Abnormal child triggers unusual interactions
- Some parents have autism spectrum disorders themselves - not necessarily a major problem in all cases
- Anxiety, violent behaviours, self-injury and hyperactivity reduced in “autism-friendly” environment

# Intervention

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- ☞ All people are individuals first and foremost; at least as true in autism as in “neurotypicality”
- ☞ People WITH autism; not autistic people!
- ☞ Change attitudes
- ☞ Respect for people in the autism spectrum
- ☞ Focus on changing environment and
- ☞ Foster adaptive skills

# Intervention

- 📄 If known underlying disorder: treat this (and be aware of syndrome-specific symptoms such as gaze avoidance in fragile X)
- 📄 If epilepsy: treat this (however, there are major caveats here)
- 📄 If hearing, vision, or motor impaired: treat this
- 📄 If important psychiatric co-existing problems (SIB, ADHD, OCS, bipolar): treat these
- 📄 Psychoeducational measures
- 📄 ABA
- 📄 Symptomatic biological treatments

# Intervention

- ❏ No medication for many; autism “per se” not an agreed target for medication trials
- ❏ Atypical neuroleptics (violence, self-injury, sleep problems, hyperactivity), antiepileptics (seizures, epileptogenic discharge?, bipolar), SSRIs (OCS, depression), stimulants (ADHD), lithium (and other drugs) for some
- ❏ Other medications?
- ❏ Diets??

# Intervention

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Physical exercise!!

“Sensory awareness” environment (reduce noise, certain sounds, smell etc.)

Autism-friendly environment

Concrete, visual (not always), straightforward

Minimize ambiguities and symbolic interpretation

# Outcome




- Very variable
- Poor in low- and middle-functioning cases with AD
- Better with early diagnosis?
- Language at age 3 = likely later Asperger phenotype?
- No language at age 7 = likely never much spoken language
- Majority probably live to be old, but increased mortality in subgroup (with medical disorders only?)
- Basic problems remain, albeit modified
- High rate of “secondary” psychiatric problems (personality disorder, affective, social, catatonia)



# The future

- Specific knowledge (including genetic and neurophysiological) and treatment for subgroup
- New diagnostic criteria
- Symptomatic treatments
- Psychoeducation/ABA
- Acceptance and attitude change!
- People with autism**, not autists or autistic people! Cannot be stressed enough
- Respect for people with functional disabilities!

# Literature

-  Gillberg C & Coleman M (2000) The Biology of the Autistic Syndromes, Third Edition. Cambridge University Press
-  Gillberg C (2002) A Guide to Asperger Syndrome. Cambridge University Press
-  Plus 300 PubMed scientific papers at [ncbi.nlm.nih.gov](http://ncbi.nlm.nih.gov)